



# **BORAL NORTH AMERICA**

## **Supplier Information Forms - Supplier Evaluation**



## ABOUT BORAL

Boral Limited is an international building products and construction materials group which operates Boral Australia; the high-performing, well-positioned materials business, and Boral North America; a scaled and growing construction materials business.

Boral North America comprises the stone, roofing, light building products and windows businesses, and has industry leading positions in fly ash processing and distribution and synthetic gypsum.

## REGISTRATION

As a leading building products and construction materials group, Boral is committed to working closely with suppliers who share our commitment to safety, sustainability, quality, innovation, and efficiency. This includes suppliers of all types and sizes. As part of its Supplier Lifecycle Management process, Boral uses questions to evaluate, approve and decline suppliers. This starts with first completing the Supplier Information Forms – Supplier Evaluation form and then, if required, completing the Supplier Information Forms – Supplementary Questions form.

By submitting this form (and any associated supplementary questions), you acknowledge that you are registering your interest to become a supplier and the information provided will be evaluated by Boral for the purposes of approving or declining your submission. You also agree that Boral's policies and requirements, as set out on Boral's Website link: <https://boralamerica.com/becoming-a-supplier/>, (collectively "Boral's Terms") have been read.

## INSTRUCTIONS

Please submit this form (and any associated supplementary questions) to [suppliers@boral.com](mailto:suppliers@boral.com). If a question is not applicable to your organization, please mark the appropriate box or write "N/A" (not applicable). If the space allotted is inadequate, response may be made by attaching a separate sheet(s) provided the answers given are directed to the requirements.

We thank you for your time and look forward to evaluating your organization's submission.

## A. GENERAL INFORMATION

A.1 Organization name:

A.2 Organization telephone:

A.3 Organization e-mail:

A.4 Organization website:

A.5 Organization street address (also provide principal place of business and mailing address if different):

A.6 When did your organization commence trading?

A.7 Does the organization trade under other names?

Yes  No

If the answer is yes, list names:

A.8 Previous name of organization (if the name changed in last 3 years or N/A):

A.9 Number of employees:

A.10 Does your organization identify as a small business or social enterprise?

Yes  No

Suppliers categorized as a small business and/or social enterprise may apply to be exempt from Boral's standard payment terms. In order to be exempt, the following "Application for Small Business and Social Enterprise Status" application is to be completed: <https://boralamerica.com/wp-content/uploads/2020/05/Application-Small-Business-or-Social-Enterprise-Status-2020m05.pdf>

A.11 Is your organization registered as a Minority Business Enterprise?

Yes  No

A.12 Describe the products/services provided by your organization:



## B. LEGAL AND FINANCIAL

**B.1** Type of legal entity (Public/Private Company, Partnership, Sole Trader, Trustee, Other):

**B.2** If a type of company, details of holding company (if applicable), company directors and shareholders:

**B.3** If a partnership, details of partners in the partnership:

**B.4** If trustee, details of trust and beneficiaries:

## C. CONFLICTS OF INTEREST

**C.1** Are any owners, directors, officers, or senior managers (or their immediate family) currently or in the last 12 months engaged as public officials\*\*?

Yes  No

\*\*A public official is an individual who: holds a legislative, administrative or judicial position of any kind in any country or territory; or, exercises a public function in any country or territory; or, is an official or agent of a public international organization (such as the UN or the World Bank).

**C.1.1** Do any of the owners, directors, officers, or senior managers (or their immediate family) currently, or in the last 12 months, hold any office or position in any political party, or are they candidates for any political office:

Yes  No

**C.1.2** Are there any part of your products/services that are typically subcontracted to third parties?

Yes  No

**C.1.3** Does your organization have any other interests of a business, professional or personal nature, including but not limited to, the representation of other clients, that would conflict in any manner or degree with the performance of its obligations as a supplier to Boral?

Yes  No

**C.1.4** Has your organization ever had a supply agreement terminated due to a conflict of interest related to the supply of goods or services?

Yes  No

**C.1.5** Does your organization, or any representative of your organization, directly or indirectly have a substantial interest in a manufacturer, subcontractor, supplier, or service firm which operates in competition with Boral?

Yes  No

**C.2** Will your organization warrant that;

**C.2.1** Neither an employee of Boral nor an immediate family member of a Boral employee is deriving personal financial gain for the approval of this supplier application?

Yes  No

**C.2.2** No employee of Boral is contemporaneously employed with your organization?

Yes  No

**C.2.3** Neither an employee of Boral, nor an immediate family member of a Boral employee has direct or indirect ownership in your organization?

Yes  No



## D. ANTI-BRIBERY AND CORRUPTION

**D.1** Does your organization have a written code, procedure or policy addressing business ethics, anti-bribery and anti-corruption or related topics (including gifts and entertainment, facilitation payments, political and charitable donations, accurate financial recordkeeping):

Yes  No

**D.2** In the past ten (10) years, has your organization (or any related entity) or its major shareholders been:

Suspended from doing business in any capacity?

Yes  No

Subject to any allegation of, investigated for, charged with, convicted of, or alleged to have been engaged in any anti-trust, criminal, fraud, misrepresentation, bribery, corruption, tax evasion, false recordkeeping, or similar activities?

Yes  No



## E. CORPORATE SUSTAINABILITY

**E.1** Does your organization actively source products/services from developing countries?

Yes  No

If the answer is yes, where do you source from?

**E.1.1** Does your organization assess its supply chains for the prevention of modern slavery\*\*?

\*\*Modern slavery is the severe exploitation of people for personal and/or commercial gain. Modern slavery in supply chains includes human trafficking, forced labor, child slavery and bonded labor.

Yes  No

**E.1.2** Does your organization follow a sustainability framework or sustainability certification system?

Yes  No

**E.2** Does your organization have a diversity / inclusion program in place?

Yes  No



## F. HEALTH SAFETY AND ENVIRONMENT (HSE)

**F.1** Has your organization been issued any safety citations or penalties, from a regulatory body\*\* or agency, in the last five years?

Yes  No

\*\*A regulatory body may include but not be limited to, the State Occupational Safety & Health Administration (Federal and State), and the Mine Safety and Health Administration (MSHA).

**F.2** Has your organization been issued any notices or consent orders from an environmental agency in the last five years?

Yes  No

**F.3** Does your organization have a health and safety policy?

Yes  No

**F.3.1** Does your organization conduct regular safety meetings?

Yes  No

**F.3.2** Does your organization have a new employee safety orientation program?

Yes  No

**F.4** Does your organization have an environmental policy?

Yes  No





## G. QUALITY MANAGEMENT

G.1 Does your organization publish a quality policy / manual?  
Yes  No  N/A

G.1.1 Does your organization complete internal audits / self-inspections?  
Yes  No  N/A

G.1.2 Does your organization have a supplier evaluation process in place?  
Yes  No  N/A



## H. INSURANCES

It is a requirement for suppliers to provide details of the following insurances (copies of insurance certificates may be requested):

Insurance Type	Limit of Cover	Comment (if applicable)
Workers Compensation (statutory cover)		
Employers Liability		
Commercial General Liability		
Business Automobile Liability		
(Please list other insurance policies)		

## I. DECLARATION

The organization identified in section A.1 warrants that the information provided in this form (and any associated supplementary questions) is true and correct. You understand that submission does not mean that your organization will necessarily be invited to tender for and/or supply any products, works or services to Boral, and agree to provide all relevant supporting documentation, if any at the written request is made by Boral or one of its appointed agents.

You acknowledge that;

- The following sections have been completed:
  - Part A: General Information
  - Part B: Legal and Financial
  - Part C: Conflicts of Interest
  - Part D: Anti-Bribery and Corruption
  - Part E: Corporate Sustainability
  - Part F: Health, Safety and Environment (HSE)
  - Part G: Quality Management
  - Part H: Insurances
- Except as set forth in a written agreement between Boral and supplier, Boral will not accept any payment terms or conditions other than those set forth in Boral's Terms in connection with any supplier's supply of goods and services to Boral. In the event supplier's quotation, acknowledgment, confirmation, invoice, or any other form or communication states payment or other terms additional to or different from those set forth in Boral's Terms, Boral's Terms shall be deemed a notification to Seller of objection to such additional or different payment terms and a rejection of same. In the absence of advance written acceptance or other written confirmation by Boral, the commencement of any work by a supplier or the making of any deliveries by a supplier of goods or services to Boral, shall be deemed an acceptance by the supplier of Boral's Terms in connection with such supply. Except as set forth otherwise in a written agreement between Boral and supplier, Boral's Terms applicable to suppliers of goods and services to Boral may be amended by Boral from time to time as set forth on Boral's Website, and upon the effective date of any such amendment such payment and/or other terms as amended shall govern the payment and other terms between Boral and any such supplier of goods and services.

**Completed by:**

Name:

(block letters)

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Title:

(block letters)

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Contact Details:

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Date:

(mm-dd-yy)

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